

## Lecture 16 (27 February 2009)

### Transport coefficients, cont.

Recall:

$$\begin{aligned} \mathcal{L} &= [H, \cdot] & \rho &= \text{equilibrium density matrix} \\ \mathcal{L}_{\text{per}} &= \mathcal{L} + \vec{\lambda} \cdot \vec{\delta}, & \vec{\delta} &= (\delta_1, \dots, \delta_n) \text{ commuting derivations: } [\delta_i, \delta_j] = 0 \\ & & \vec{\lambda} &= (\lambda_1, \dots, \lambda_n) \text{ complex constants} \end{aligned}$$

Given the perturbation  $\vec{\lambda} \cdot \vec{\delta}$  of  $\mathcal{L}$  there is a response:  $\langle \vec{\delta} H \rangle_{\text{per}} = \tau(\rho_{\text{per}} \vec{\delta} H)$ , according to our philosophy.

Hypothesis:

$$\begin{array}{c} \rho_{\text{per}} = \text{“} \lim_{t \rightarrow \infty} \text{” } e^{it\mathcal{L}_{\text{per}}}(\rho) \\ \uparrow \qquad \qquad \uparrow \\ \uparrow \qquad \text{equation of motion} \\ \text{very often must be regularized} \end{array}$$

Assumptions:

1)  $\tau \circ \delta = 0$

Then

$$\begin{aligned} \langle \vec{\delta} H \rangle_{\text{per}} &= \tau(\rho_{\text{per}} \vec{\delta} H) = \lim_{t \rightarrow \infty} \tau(e^{it\mathcal{L}_{\text{per}}}(\rho) \vec{\delta} \cdot \vec{H}) \\ & \text{(formally, } \tau(\mathcal{L}_{\text{per}}(\rho)A) = -\tau(\rho \mathcal{L}_{\text{per}}(A)) \text{)} \\ (*) &= \lim_{t \rightarrow \infty} \tau(\rho e^{-it\mathcal{L}_{\text{per}}} \vec{\delta} \cdot \vec{H}) \end{aligned}$$

2) Bold assumption: use the Dyson expansion for  $e^{-it\mathcal{L}_{\text{per}}}$

$$(*) \quad \stackrel{\text{Dyson}}{=} \text{power series in } \lambda \quad \tau(\rho \vec{\delta} \cdot \vec{H}) + \lim_{t \rightarrow \infty} \tau \left( \underbrace{\rho \int_{\substack{s_0, s_1 \geq 0 \\ s_0 + s_1 = 1}} e^{-is_0\mathcal{L}} \vec{\lambda} \cdot \vec{\delta} e^{-is_1\mathcal{L}} \vec{\delta} \vec{H}} \right) + \mathcal{O}(\lambda^2)$$

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$\lambda^0$ -term; mostly = 0

1<sup>st</sup> order term

translate this thing

3) 1<sup>st</sup> order term. Assumption:  $\mathcal{O}(\lambda^2)$  is really negligible.

$$\langle \vec{\delta}H \rangle_{\text{per}} = \sigma^\delta \vec{\lambda} \quad (\sigma^\delta \text{ is a matrix; a tensor in the case of the higher order terms.})$$

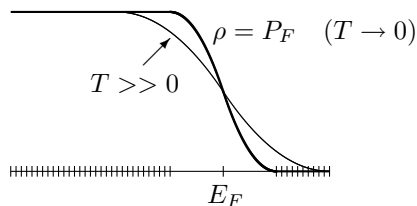
where

$$\sigma_{\nu\mu}^\delta = \lim_{t \rightarrow \infty} \tau \left( \overbrace{\rho \int_0^t e^{-i(t-s_1)\mathcal{L}} \delta_\mu e^{-is_1\mathcal{L}} \delta_\nu H ds_1}^{\sigma_{\nu\mu}(t)} \right)$$

is the tensor of transport coefficients in the 1<sup>st</sup> order approximation (higher orders; higher order tensors). We have:

$$\begin{aligned} \sigma_{\nu\mu}(t) &= \tau \left( \underbrace{e^{i(t-s_1)\mathcal{L}}(\rho)}_{=\rho \text{ by invariance}} \delta_\mu \int_0^t e^{-s_1\mathcal{L}} \delta_\nu H ds_1 \right) \\ &\stackrel{7}{=} \tau \left( -\delta_\mu(\rho) \int_0^t e^{-is\mathcal{L}} \delta_\nu H ds \right) \end{aligned}$$

Now *a priori* there is a singularity if  $t \rightarrow \infty$  in case  $\delta_\nu \notin \text{Ker } \mathcal{L}^\perp$ . Suppose that the temperature  $T$  is very low and the Fermi energy  $E_F \in \text{Gap}(H)$ . Hence  $\rho = P_F =$  the spectral projection of  $H$  to states below the Fermi energy:



*Remark.* If  $p = p^2$  and  $\delta$  is any derivation:

$$\begin{aligned} \delta(p^2) &= p\delta(p) + \delta(p)p, \text{ and} \\ &\parallel \\ \delta(p) &= p\delta(p) + p^\perp\delta(p) \quad (p^\perp = 1 - p) \\ &\parallel \\ \delta(p) &= \delta(p)p + \delta(p)p^\perp \quad (\text{ditto}) \\ &\Leftrightarrow \delta(p)p = p^\perp\delta(p) \text{ and } p\delta(p) = \delta(p)p^\perp \end{aligned}$$

(\*) put this together  $\stackrel{8}{\Rightarrow} \delta(p) = p\delta(p)p^\perp + p^\perp\delta(p)p$

<sup>7</sup>Using the fact that  $\delta_\mu$  is a derivation and that  $\tau$  is invariant under  $\delta$ .

The result marked (\*) is an extremely important algebraic calculation.

Suppose that we have an eigenbasis of  $H$  by  $\{\psi_i\}_i$  (perhaps generalized eigenvectors). Then

$$\tau \left( \delta_\mu(P_F) \int_0^t e^{-is\mathcal{L}} \delta_\nu H ds \right) = \sum_{i,j} \underbrace{\langle \psi_i | \delta_\mu P_F | \psi_j \rangle}_{X_{ij}} \langle \psi_j | \int_0^t e^{-is\mathcal{L}} \delta_\nu H | \psi_i \rangle$$

where  $X_{ij} = 0$  if both  $\psi_i$  and  $\psi_j$  belong to either  $\text{Im } P_F$  or its orthogonal complement

Notes are incomplete from here,

$$\langle \psi_j | H \delta_\nu H | \psi_i \rangle - \langle \psi_j | \delta_\nu(H) H | \psi_i \rangle$$

$$H|\psi_i\rangle = E_i|\psi_i\rangle$$

$$\Rightarrow |E_i - E_j| \geq |\tilde{E}_1 - \tilde{E}_0|$$

to here

So

$$\langle \psi_j | \int_0^t e^{-is\mathcal{L}} \delta_\nu | \psi_i \rangle ds = \int_0^t e^{-is(E_j - E_i)} ds \langle \psi_j | \delta_\nu H | \psi_i \rangle$$

$$\begin{aligned} \lim_{t \rightarrow \infty} \int_0^t e^{-is\omega} ds &= \text{FT of the Heaviside function} \\ &= \underset{\text{principal value}}{PV} \left( \frac{1}{i\omega} \right) + \frac{\pi}{2} \delta(\omega) \text{ in the distribution space} \end{aligned}$$

Hence

$$\frac{1}{i(E_j - E_i)} \langle \psi_j | \delta_\nu H | \psi_i \rangle = \frac{1}{i} \langle \psi_j | \mathcal{L}^{-1} \delta_\nu H | \psi_i \rangle$$

Drawing everything together,

**Proposition.**  $\sigma_{\nu\mu}^\delta = -\frac{1}{i} \tau \left( \delta_\mu(P_F) \mathcal{L}^{-1} \delta_\nu H \right)$   
|  
not at all invertible so need  $P_F$

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$${}^8 \delta(p) = p(p\delta(p)) + p^\perp(p^\perp\delta(p)) = p\delta(p)p^\perp + p^\perp\delta(p)p$$

Using the extremely important (\*) twice (as well as commuting properties of  $\mathcal{L}$  with the projections),

$$\begin{aligned} -\frac{1}{i}\tau(\delta_\mu(P_F)\mathcal{L}^{-1}\delta_\nu H) &= -\frac{1}{i}\tau(P_F\delta_\mu(P_F)P_F^\perp\mathcal{L}^{-1}\delta_\nu H + P_F^\perp\delta_\mu(P_F)P_F\mathcal{L}^{-1}\delta_\nu H) \\ &= -\frac{1}{i}\tau(P_F\delta_\mu(P_F)P_F^\perp\mathcal{L}^{-1}(\delta_\nu(H))P_F + P_F^\perp\delta_\mu(P_F)P_F\mathcal{L}^{-1}(\delta_\nu(H))P_F^\perp) \end{aligned}$$

**Lemma.**

$$\begin{aligned} P_F^\perp\mathcal{L}^{-1}(\delta_\nu(H))P_F &= -P_F^\perp\delta_\nu(P_F)P_F \\ \text{and } P_F\mathcal{L}^{-1}(\delta_\nu(H))P_F^\perp &= P_F\delta_\nu(P_F)P_F^\perp \end{aligned}$$

*Proof.* Apply  $\mathcal{L}$  to right-hand side:

$$\begin{aligned} \mathcal{L}(P_F^\perp\delta_\nu(P_F)P_F) &= P_F^\perp \underbrace{[H, \delta_\nu(P_F)]}_{\substack{\delta_\nu[H, P_F] - [\delta_\nu(H), P_F] \\ = 0 - [\delta_\nu(H), P_F]}} P_F \text{ (commutator in } H \text{ commutes with } (\delta_\nu H)) \\ &= -P_F^\perp[\delta_\nu(H), P_F]P_F \\ &= -P_F^\perp\delta_\nu(H)P_F \end{aligned}$$

This proves the first equality. The second is proved in the same sort of way.  $\square$

Final result:

**Proposition.**  $\sigma_{\nu\mu}^\delta = -i\tau(P_F\delta_\mu(P_F)\delta_\nu(P_F) - \delta_\nu(P_F)\delta_\mu(P_F))$

This is a non-commutative Chern character!!!